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### **First-Order Logic** Predicates and Quantifiers

Robert Y. Lewis

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Proof rules for quantifiers

#### Overview

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Propositions in Normal Form (3.4.1)

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### Refresher: validity and satisfiability

A propositional formula is *valid* if it is true under every possible assignment of truth values to its atoms. (All rows in the truth table come out T.)

A propositional formula is *satisfiable* if it is true under at least one truth assignment. (Some row in the truth table comes out T.)

Checking validity and satisfiability: a hard problem!

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# Disjunctive normal form

Definition: A formula in *disjunctive normal form* is an OR of terms, where each term is an AND of variables or negations of variables.

 $(A \land B \land \neg C) \lor (\neg B \land C)$ 

 $A \lor B \lor (A \land B \land \neg C)$ 

Not in DNF:  $(A \land B) \lor \neg (B \land C)$ 

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# Disjunctive normal form is universal

**Theorem**: For every formula, there is an equivalent formula written in DNF.

**Proof**: You can read the terms off of the truth table, turning each "true" row into a conjunction of literals.

Α	В	С	value		
F	F	F	F		
F	F	Т	Т	$\leftarrow$	$ eg A \land  eg B \land C$
F	Т	F	F		
F	Т	Т	F		
Т	F	F	F		
Т	F	Т	Т	$\leftarrow$	$A \wedge \neg B \wedge C$
Т	Т	F	Т	$\leftarrow$	$A \wedge B \wedge \neg C$
Т	Т	Т	F		
( <i>¬</i> A	$\wedge \neg$	$B \wedge$	C) ∨ (A /	$\neg B /$	$(A \land B \land \neg C) \lor (A \land B \land \neg C)$

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## Properties of disjunctive normal form

How big could the disjunctive normal form get? Big!

Definition: If every variable appears exactly once in every term in a disjunctive normal form expression, then it is in *full disjunctive normal form*.

Book	Wikipedia/me
disjunctive form	disjunctive normal form
disjunctive normal form	full disjunctive normal form

Given a formula in DNF (disjunctive normal form), can we determine whether it is satisfiable? Valid? Satisfiability is easy—a single term tells us a satisfying assignment. Validity is not obvious—a given term might exclude an assignment, but perhaps another picks it up?

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# Conjunctive normal form

Definition: A formula in *conjunctive normal form* is an AND of clauses, where each clause is an OR of variables or negations of variables.

$$(\neg A \lor \neg B \lor C) \land (B \lor \neg C)$$

 $\neg A \land B \land (\neg A \lor C)$ 

Not an example:  $\neg A \lor B \land (\neg A \lor C)$ 

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## Conjunctive normal form is universal

**Theorem**: For every formula, there is an equivalent formula written in CNF.

Proof: Negate the truth table. Write in DNF. Negate formula via DeMorgan's law. QED.

Α	В	С	value	negated		
F	F	F	Т	F		
F	F	Т	F	Т	$\leftarrow$	$ eg A \land  eg B \land C$
F	Т	F	Т	F		
F	Т	Т	Т	F		
Т	F	F	Т	F		
Т	F	Т	F	Т	$\leftarrow$	$A \wedge \neg B \wedge C$
Т	Т	F	F	Т	$\leftarrow$	$A \wedge B \wedge \neg C$
Т	Т	Т	Т	F		
DNF for negated: $(\neg A \land \neg B \land C) \lor$						
$CNF: (A \lor B \lor \neg C) \land$						

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## Properties of conjunctive normal form

How big could the conjunctive normal form get? Big.

Definition: If every variable appears in every clause in a conjunctive normal form expression, then it is in *full conjunctive normal form*.

Book	Wikipedia/me
conjunctive form	conjunctive normal form
conjunctive normal form	full conjunctive normal form

Given a formula in CNF (conjunctive normal form), can we determine whether it is satisfiable? Valid? Validity is easy now—a single clause throws out an assignment, so a single clause makes the formula not valid. Satisfiability is not so clear—each clause knocks out some assignments, but not clear if the set of clauses miss anything.

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### First-order Logic

The language of *propositional* logic: atoms and connectives. Every formula is either an atom, or one or more formulas related by a connective.  $p \land q \rightarrow r$ 

The language of *first-order* (or *predicate*) logic:

- Variables: *x*, *y*, *n*, ...
- Function symbols: f(x), plus(a, b), ... (sometimes with notation)
- Predicate symbols: P(x), R(x, y), Prime(n), ... propositions with placeholders
- **Quantifiers:**  $\forall$ ,  $\exists$
- ... and the same old connectives as before

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## **Technical specification**

A well-formed term in first-order logic is

- a variable (x, y, n, ...), or
- a function symbol applied to the correct number of terms (f(x), plus(x, y), ...), or
- a constant symbol (0, 1, Ø, ...)

Terms represent "things."

A well-formed *formula* in first-order logic is

- a predicate symbol applied to the correct number of terms (R(x, y), Prime(n), ...), or
- one or more formulas joined by a connective  $(P(x) \land Q(y), \neg R(x, y), ...)$ , or
- a quantifier, followed by a variable, followed by a formula  $(\forall x : \mathbb{N}, P(x) \land Q(x))$

Formulas represent "statements." (Like propositions?)

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#### Concept Check

Let = and *R* be predicate symbols and + and *f* be function symbols. Which of the following are well-formed formulas?

- $\blacksquare x = 0 \lor x = 1 \lor x = 2$
- $\blacksquare f(x) \wedge f(y)$
- $\blacksquare \forall x : \mathbb{Z}, x + 0$
- $\blacksquare \exists x : \mathbb{Z}, \forall y : \mathbb{Z}, R(f(x), f(y))$
- $\forall x \land y = 2$

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### Translations

From day 1:

- There is a perfect square whose final digit is 4.  $\exists x : \mathbb{N}, PS(x) \land (fd(x) = 4)$
- Every number is either prime or the product of two other numbers.  $\forall n : \mathbb{N}, Prime(n) \lor \exists p \ q : \mathbb{N}, n = p \cdot q$
- Every number is either prime or the product of two *smaller* numbers.  $\forall n : \mathbb{N}, Prime(n) \lor \exists p \ q : \mathbb{N}, (p < n) \land (q < n) \land (n = p \cdot q)$
- Every even integer greater than two is the sum of two primes.  $\forall n : \mathbb{N}, Even(n) \land (n > 2) \rightarrow \exists p \ q : \mathbb{N}, Prime(p) \land Prime(q) \land (n = p + q)$

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## Try a few yourself!

You can make up some predicate and function symbols, like TD(n) for "has two digits".

- 313 $(x^3 + y^3) = z^3$  has no solution when  $x, y, z \in \mathbb{Z}^+$ .
- There is a two-digit perfect square whose final digit is 4.
- Every prime number greater than 2 is odd.

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# Try a few yourself!

- $313(x^3 + y^3) = z^3$  has no solution when  $x, y, z \in \mathbb{Z}^+$ .  $\neg \exists x \ y \ z : \mathbb{Z}^+, 313(x^3 + y^3) = z^3$
- There is a two-digit perfect square whose final digit is 4.  $\exists n : \mathbb{N}, TD(n) \land PS(n) \land (fd(n) = 4)$
- Every prime number greater than 2 is odd.  $\forall n : \mathbb{N}, Prime(n) \land (n > 2) \rightarrow Odd(n)$

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## forall proof rules

Introduction: To **prove** a forall goal  $\forall x : T, G(x)$ : Suppose you have a (new, freshly named) x : T in your context, and prove G(x) for that new x.

I want to show that every number is either prime or the product of two other numbers. Suppose *n* is a number. Show that *n* is prime or *n* is the product of two other numbers.

Elimination: To **use** a forall hypothesis  $\forall x : T, H(x)$ : If t : T is any term of the right type, then you can add a hypothesis H(t).

I know that every number is either prime or the product of two other numbers. Therefore, I know that either 2 prime or 2 is the product of two other numbers. I know that either 5 is prime or 5 is the product of two other numbers...

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#### Exists proof rules

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To prove an existential goal \exists x : T, G(x):
Provide a witness.
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I want to show that there is a perfect square whose final digit is 4. I claim that my witness is 64. Then, I must show that 64 is a perfect square and the final digit of 64 is 4.

Elimination: To **use** an existential hypothesis  $\exists x : T, H(x)$ : you can create a (new, freshly named) t : T, and add a hypothesis H(t). "Give a name to the witness."

I know that there is a perfect square whose final digit is 4. Let's call this perfect square *ps*. I know that *ps* is a perfect square and the final digit of *ps* is 4.